



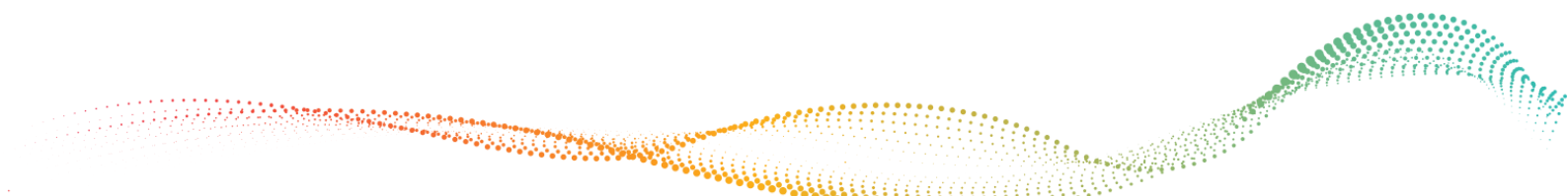
# Shareholder Communications Policy

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4DMedical Limited

ACN 161 684 831

Version: 2.0  
23 February 2024



## 1. Purpose

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- 1.1 4DMedical Limited (hereafter referred to as the **Company, we, us** or **our**) has adopted this Policy to ensure timely, clear and effective communication with the market, shareholders and other stakeholders.
- 1.2 The purpose of the Policy is also to encourage effective participation at our general meetings and to deal promptly with enquiries of the shareholders
- 1.3 The policy applies to our directors, employees and contractors.

## 2. Methods of Communication

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- 2.1 We will ensure that it will use available channels and technologies to communicate widely and promptly to shareholders, including the following methods of communication:
  - (a) telephone;
  - (b) mail;
  - (c) email; and
  - (d) Company website.
- 2.2 Shareholders may also communicate with the Company by:
  - (a) contacting the Company by telephone, mail or email (contact details are available from the Company's website);
  - (b) participation at the annual general meeting (see section 5 below); or
  - (c) participation at analyst and investor briefings which may be conducted from time to time (see section 6 below).

## 3. ASX Announcements

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- 3.1 We will ensure shareholder communications are distributed to shareholders in accordance with the Corporations Act and Listing Rules (see also Continuous Disclosure Policy).
- 3.2 Announcements released to the market, subject to applicable securities law, are available to shareholders:
  - (a) under the "Investor Relations" section of the Company's website;
  - (b) under the "Company Announcements" section of the ASX website; and
  - (c) by email notification (when shareholders provide the Company's share registry with their email address and elect to be notified by email of particular ASX announcements).
- 3.3 The main categories of announcements include:
  - (a) Half year and annual financial results;
  - (b) Quarterly activity and cash flow reports;
  - (c) Annual Reports;
  - (d) Investor Updates;
  - (e) Substantial Shareholder Notices; and
  - (f) Earnings Guidance (as required).

## 4. Company Website

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- 4.1 The Company's website is the primary medium of providing information to all shareholders.
- 4.2 Our website has been designed to provide information about the Company, including an announcements made to the market (including all financial results, annual reports and market releases), overview of our business and other material relevant to the shareholders.
- 4.3 In accordance with Recommendation 6.1 of the ASX Principles, we have a 'Corporate Governance' section on our website, which includes information about our corporate governance including (but not limited to):
- (a) the Company's Constitution, Board Charter and Committee Charters;
  - (b) Corporate Governance Policies; and
  - (c) the Company's annual Corporate Governance Statement;

## 5. Annual Shareholder Meetings

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- 5.1 Our Annual General Meeting (**AGM**) provides shareholders with the opportunity to:
- (a) hear directly from our Board and the Managing Director & CEO;
  - (b) vote on shareholder resolutions recommended by the Board (through direct voting, by proxy, in person, online, by mail or by fax); and
  - (c) ask questions of the Board and Senior Management.
- 5.2 As a general approach, the notice convening the AGM will state that shareholders unable to attend the AGM are invited to submit questions in writing prior to the AGM. Any questions and responses will be included in the record of the AGM.
- 5.3 The date, time and location of the AGM will be provided in the notice of meeting. Alternatively, shareholders can contact the Company's share registry or the Company Secretary directly to obtain this information.
- 5.4 The notice of meeting and proxy form will be distributed to all shareholders prior to the AGM in the timeframe set by the Corporations Act and the Company's Constitution. The notice will also be available on the Company's website.
- 5.5 The Company's auditor will attend its AGM and will be available to answer any questions regarding the conduct of, and any issues arising from, the audit or the preparation and content of the auditor's report and accounting policies in relation to the preparation of the financial statements.
- 5.6 In line with the ASX's requirements, the Company will publish supporting documentation used at the AGM on the Company's website, such as investor presentations, pre-prepared addresses and/or audio and video records.

## 6. Analysts and Investors briefings

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- 6.1 We may conduct briefings for analysts and investors from time to time to discuss matters concerning the Company, including our operational and financial performance.

- 6.2 These discussions are based on information that has been previously released to the ASX. The purpose of these meetings is to enable the investment community to gain an understanding of the Company.
- 6.3 If and when investor and analyst briefings occur, any presentation materials provided and webcasts or transcripts (if kept) will be made available to all shareholders via the 'Company Information' or "Results and Reports" section of the Company's website.

## **7. Media Releases**

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- 7.1 Access to the Company's media releases is available under the "News" section of the Company's website and they are released to the market via the ASX.

## **8. General**

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### **8.1 Annual Report**

Unless shareholders have elected to have a hard copy of the annual report mailed to them, the default method of receiving the Company's annual report is electronically via the "Results and Reports" section of the Company's website.

Shareholders who wish to receive a printed copy of the annual report should contact the Company's share registry (see the Company's share registry contact details below). The annual report will be available to all shareholders prior to the AGM within the timeframe set by the Corporations Act.

### **8.2 Half and Full Year results**

The half-year and full-year financial results are announced to the ASX pursuant to the Listing Rules and are available to shareholders in the same manner as other ASX announcements.

### **8.3 Share Registry**

Shareholders with any questions related to their shareholding should contact the Company's share registry per the contact details under "Investor Contacts" section of the Company's website as follows:

Link Market Services  
Level 12, 680 George Street  
Sydney NSW 2000  
Locked Bag A14  
Sydney South NSW 1235

P: +61 1300 554 474 (toll free within Australia)  
F: +61 2 9287 0303

[registrars@linkmarketservices.com.au](mailto:registrars@linkmarketservices.com.au)  
[www.linkmarketservices.com.au](http://www.linkmarketservices.com.au)

## **9. Social Media Communication**

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- 9.1 We use a number of social media platforms, including LinkedIn, Twitter, Instagram, to communicate with the public, including investors.
- 9.2 We talk about our major advocacy and engagement activities on these channels and actively engage and respond to investors and other interested parties.
- 9.3 Investors and market participants are also invited to contact us directly through our dedicated investor relations email address (investor.relations@4dmedical.com); and

## **10. Review and Amendments**

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- 10.1 This Policy will be reviewed by our Board on a periodic basis to ensure it remains effective and meets the best practice, industry standards and our needs.
- 10.2 The Policy will be available on the Company's website within a reasonable time after any such updates or amendments have been approved